

Privacy Policy

This Privacy Policy notice is provided to you on behalf of IFP Securities, LLC (“IFP Securities”). This notice has been prepared to describe to you what IFP Securities collects, and how IFP Securities handles and safeguards your personal information. Please read this notice carefully to understand your privacy rights.

Facts		What Does IFP Securities Do with Your Personal Information?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share and protect your personal information. Please read this notice carefully to understand what we do.	
What?	<p>The types of personal information we collect can include:</p> <ul style="list-style-type: none"> • Name, Address, Social Security number and Date of Birth • Income, Assets, Net Worth and Investment Experience • Account Balances and Transaction History <p>When you are <i>no longer</i> our customer, IFP Securities will continue to maintain your personal information and share it as described below in this notice.</p>	
How?	All financial companies need to share customer’s personal information to run their everyday business. In the section below we list the reasons why financial companies share their customer’s personal information, the reason IFP Securities chooses to share personal information and whether you can limit this sharing.	

Reasons We Can Share Your Personal Information	Does IFP Securities Share?	Can You Limit This Sharing?
For our everyday business purposes , such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes to offer our products and services to you	Yes	No
For joint marketing with other financial companies	Yes	No
For our affiliates’ everyday business purposes , as it relates to information about your transactions and experiences	Yes	No
For our affiliates’ everyday business purposes – information about your creditworthiness	Yes	No

Privacy Policy

For non-affiliates to market to you – for clients with accounts established with IFP Securities representatives at banks or credit unions	No	We don't share
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What We Do

<p>How does IFP protect my personal information?</p>	<p>To protect your personal information from unauthorized access and use, IFP Securities uses security measures that comply with federal law. IFP Securities has implemented security standards and processes as well as physical, electronic and procedural safeguards – including training and confidentiality agreements - designed to protect your personal information.</p>
<p>How does IFP collect my personal information?</p>	<p>IFP Securities collects your personal information when you:</p> <ul style="list-style-type: none"> • Open an account • Enter into an investment advisory account • Provide details about your accounts, investments or retirement assets • Execute investment transactions in your account <p>We also collect your personal information from others such as credit bureaus, affiliates or other companies.</p>
<p>Why can't I limit all sharing?</p>	<p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none"> • Sharing for affiliates' business purposes—information about your creditworthiness • Affiliates from using your information to market products and services to you • Sharing for nonaffiliates to market products and services to you <p>State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.</p>
<p>What happens when I limit sharing for an account I hold jointly with someone else?</p>	<p>Your choices will apply to everyone on your account.</p>
<p>How does IFP treat my personal information once I am no longer a customer?</p>	<p>The firm will provide personal information about former customers only if required to do so by law or regulation or to those parties who need such information in order for IFP Securities to carry out any continued obligation with respect to the services provided from its relationship with you.</p> <p>If your independent representative terminates his or her relationship with us and moves to another brokerage or investment advisory firm, we or your independent representative may disclose your personal information to the new firm, unless you instruct us otherwise by returning the completed Privacy Choices Notice form attached to this notice.</p> <p>*See below for more on your rights under state law.</p>
<p>Definitions</p>	

Privacy Policy

Affiliates	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <p>IFP Securities is a wholly owned subsidiary of IFP Group, LLC. Our affiliates IFP Advisors, LLC and WKW Enterprises, LLC; service providers, legal and other professional advisors and auditors; regulators and law enforcement agencies.</p> <p>Where third parties are given access to your personal data, IFP Securities will take the required contractual, technical and organizational measures to ensure that your personal data is only processed to the extent necessary.</p>
Nonaffiliates	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies. IFP Securities does not share with nonaffiliates so they can market to you.</p>
Joint marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you:</p> <p style="padding-left: 40px;">This may include banks, credit unions, or other financial institutions with which IFP Securities has a joint marketing agreement.</p>

Other Important Information

Information for California, North Dakota and Vermont Customers

In response to applicable state law, if the mailing address provided for your account is in California, North Dakota, or Vermont, we will automatically treat your account as if you do not want us to disclose your personal information to nonaffiliated third parties for purposes of them marketing to you, except as permitted by the applicable state law.

Privacy Policy

If your account was opened in our offices located at a financial institution, such as a bank or credit union and that financial institution decides to enter into a relationship with a new financial service provider, we may share your information with that new financial services provider so that your account can continue to be serviced.

Record Retention

IFP Securities will hold your personal data for as long as required to undertake the purposes of our processing, plus a prescribed period of time as required by laws in your jurisdiction.

We hold personal data about our clients for the following periods:

Data relating to prospective clients will be retained for up to five years, after which the record may be deleted if there is no recorded contact between IFP Securities and the prospective client or the prospective client has asked to be deleted within this period.

Data relating to clients will be retained for the duration of the client relationship plus a further period to comply with certain obligations, legal requirements and best practices.

We will also retain your personal data for as long as necessary in connection with legal action or any investigations involving IFP Securities.

SIPC Information

Investor information about the Securities Investor Protection Corporation (SIPC) may be obtained at their website (www.sipc.org) or by contacting SIPC at 202-371-8300 to request a SIPC brochure.

FINRA Information

Investor information may be obtained at the FINRA website (www.finra.org) or by contacting FINRA at 301-590-6500. For information regarding FINRA BrokerCheck, a free tool to help investors research the professional backgrounds of current and former FINRA-registered brokerage firms and brokers, please visit www.finra.org/BrokerCheck or call the FINRA BrokerCheck Hotline at 800-289-9999

IFP Securities may change this privacy notice at any time. Any changes or updates will be effective immediately on the date of posting.

Please visit www.ifpartners.com or call (813) 341-0960. If we serve you through an investment professional, please contact them directly. Specific internet addresses, mailing addresses, and telephone numbers are listed on your statements and other correspondence.

Privacy Policy

Privacy Choices Notice Mail-In Form

(To be used by clients of IFP Securities, LLC only – not for clients of advisors associated with a bank or credit union)

If you would like to limit the personal information that your Advisor could disclose or take if he or she terminates with IFP Securities and transfers to another investment firm, please complete and mail the following form to:

Compliance Department
IFP Securities, LLC
3030 N. Rocky Point Dr. W., Suite 700
Tampa, FL 33607

You can withdraw your opt-out choice at any time by contacting IFP Securities in writing at the address above.

If your primary address is in a state that requires your affirmative consent to share your personal information in the states named above, then you must give your written consent before your Advisor may take any of your personal information to his or her new firm.

- Limit the personal information about me that my financial advisor could disclose or take if he or she terminates with IFP Securities and transfers to another investment firm. You understand that IFP Securities may disclose your name, address, telephone number, email and the account title of the accounts serviced by your Advisor to his or her new firm as allowed under federal and certain state laws.

Advisor's Name: _____

Please note that for accounts held jointly by two or more persons, the privacy choices made by any account holder apply to all joint holders with respect to the account. In order for your opt-out election to be effective, you must complete ALL of the following information:

Customer 1:

Name (Please print clearly) _____

Address _____

City _____ State/Zip _____

Phone Number _____

Account Number or SSN _____

Signature: _____ Date _____

Customer 2:

Name (Please print clearly) _____

Address _____

City _____ State/Zip _____

Phone Number _____

Account Number or SSN _____

Signature: _____ Date _____

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